

# The Standards of Practice and Code of Ethics

of the American Society of Home Inspectors®

Effective January 1, 2000





# **Table of Contents**

Page
Standards of Practice
Section Description
1 Introduction3
2 Purpose and Scope3
3 Structural System3
4 Exterior
5 Roof System4
6 Plumbing System4
7 Electrical System4
8 Heating System5
9 Air Conditioning Systems5
10 Interior 5
11 Insulation and Ventilation5
12 Fireplaces and Solid 5 Fuel Burning Appliances
13 General Limitations5 and Exclusions
Glossary
Code of Ethics8

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# Home Inspection

Home inspections were being performed in the mid 1950s, and by the early 1970s were considered by many consumers to be essential to the real estate transaction. The escalating demand was due to a growing desire by homebuyers to learn about the condition of a house prior to purchase. Meeting the expectations of consumers required a unique discipline, distinct from construction, engineering, architecture, or municipal building inspection. As such, home inspection requires its own set of professional guidelines and qualifications. The American Society of Home Inspectors (ASHI) formed in 1976 and established the ASHI Standards of Practice and Code of Ethics to help buyers and sellers make real estate transaction decisions based on accurate, objective information.

# American Society of Home Inspectors

As the oldest, largest and highest profile organization of home inspectors in North America, ASHI takes pride in its position of leadership. Its Membership works to build public awareness of home inspection and to enhance the technical and ethical performance of home inspectors.

#### Standards of Practice

The ASHI Standards of Practice guide home inspectors in the performance of their inspections. Subject to regular review, the Standards of Practice reflect information gained through surveys of conditions in the field and of the consumers' interests and concerns. Vigilance has elevated ASHI's Standards of Practice so that today they are the most widely-accepted home inspection guidelines in use and are recognized by many government and professional groups as the definitive standard for professional performance.

## Code of Ethics

ASHI's Code of Ethics stresses the home inspector's responsibility to report the results of the inspection in a strictly fair, impartial, and professional manner, avoiding conflicts of interest.

#### **ASHI** Membership

Selecting the right home inspector can be as important as finding the right home. ASHI Members have performed no fewer than 250 fee-paid inspections in accordance with the ASHI Standards of Practice. They have passed written examinations testing their knowledge of residential construction, defect recognition, inspection techniques, and report-writing, as well as ASHI's Standards of Practice and Code of Ethics. Membership in the American Society of Home Inspectors is well-earned and maintained only through meeting requirements for continuing education.

Find local ASHI Members by calling 1-800-743-2744 or visiting the ASHI Web site at www.ashi.com

# Standards of Practice

# 1. INTRODUCTION

1.1 The American Society of Home Inspectors (ASHI) is a not-for-profit professional society established in 1976. Membership in ASHI is voluntary and its members include private, fee-paid home *inspectors*. ASHI's objectives include promotion of excellence within the profession and continual improvement of its members' inspection services to the public.

# 2. PURPOSE AND SCOPE

2.1 The purpose of these Standards of Practice is to establish a minimum and uniform standard for private, fee-paid home *inspectors* who are members of the American Society of Home Inspectors.

Home Inspections performed to these Standards of Practice are intended to provide the client with information regarding the condition of the *systems* and *components* of the home as *inspected* at the time of the *Home Inspection*.

## 2.2 The *inspector* shall:

#### A. inspect:

- 1. readily accessible systems and components of homes listed in these Standards of Practice.
- 2. *installed systems* and *components* of homes listed in these Standards of Practice.

#### B. report:

- on those systems and components inspected which, in the professional opinion of the inspector, are significantly deficient or are near the end of their service lives.
- 2. a reason why, if not self-evident, the *system* or *component* is *significantly deficient* or near the end of its service life.
- 3. the *inspector*'s recommendations to correct or monitor the *reported* deficiency.
- on any systems and components designated for inspection in these Standards of Practice which were present at the time of the Home Inspection but were not inspected and a reason they were not inspected.

# 2.3 These Standards of Practice are not intended to limit *inspectors* from:

- A. including other inspection services, *systems* or *components* in addition to those required by these Standards of Practice.
- B. specifying repairs, provided the *inspector* is appropriately qualified and willing to do so.

C. excluding *systems* and *components* from the inspection if requested by the client.

# 3. STRUCTURAL SYSTEM

# 3.1 The *inspector* shall:

#### A. inspect:

- 1. the *structural components* including foundation and framing.
- by probing a representative number of structural components where deterioration is suspected or where clear indications of possible deterioration exist. Probing is NOT required when probing would damage any finished surface or where no deterioration is visible.

#### B. describe:

- 1. the foundation and *report* the methods used to *inspect* the *under-floor crawl space*.
- 2. the floor structure.
- 3. the wall structure.
- 4. the ceiling structure.
- 5. the roof structure and *report* the methods used to *inspect* the attic.

# 3.2 The *inspector* is NOT required to:

- A. provide any *engineering service* or *architectural service*.
- B. offer an opinion as to the adequacy of any *structural system* or *component*.

#### 4. EXTERIOR

# 4.1 The *inspector* shall:

#### A. inspect:

- 1. the exterior wall covering, flashing and trim.
- 2. all exterior doors.
- 3. attached decks, balconies, stoops, steps, porches, and their associated railings.
- 4. the eaves, soffits, and fascias where accessible from the ground level.
- 5. the vegetation, grading, surface drainage, and retaining walls on the property when any of these are likely to adversely affect the building.
- 6. walkways, patios, and driveways leading to dwelling entrances.
- B. *describe* the exterior wall covering.

# EXTERIOR 4.2, continued

# 4.2 The *inspector* is NOT required to:

#### A. inspect:

- screening, shutters, awnings, and similar seasonal accessories.
- 2. fences.
- 3. geological, geotechnical or hydrological conditions.
- 4. recreational facilities.
- 5. outbuildings.
- 6. seawalls, break-walls, and docks.
- 7. erosion control and earth stabilization measures.

#### **5.** ROOF SYSTEM

# 5.1 The *inspector* shall:

#### A. inspect:

- 1. the roof covering.
- 2. the roof drainage systems.
- 3. the flashings.
- 4. the skylights, chimneys, and roof penetrations.
- B. *describe* the roof covering and *report* the methods used to *inspect* the roof.

#### 5.2 The *inspector* is NOT required to:

#### A. inspect:

- 1. antennae.
- 2. interiors of flues or chimneys which are not *readily accessible*.
- 3. other *installed* accessories.

# 6. PLUMBING SYSTEM

# 6.1 The *inspector* shall:

## A. inspect:

- 1. the interior water supply and distribution *systems* including all fixtures and faucets.
- 2. the drain, waste and vent *systems* including all fixtures.
- 3. the water heating equipment.
- 4. the vent systems, flues, and chimneys.
- 5. the fuel storage and fuel distribution systems.
- 6. the drainage sumps, sump pumps, and related piping.

#### B. describe:

- 1. the water supply, drain, waste, and vent piping materials.
- 2. the water heating equipment including the energy source.
- 3. the location of main water and main fuel shutoff valves.

# 6.2 The *inspector* is NOT required to:

#### A. inspect:

- 1. the clothes washing machine connections.
- 2. the interiors of flues or chimneys which are not *readily accessible*.
- 3. wells, well pumps, or water storage related equipment.
- 4. water conditioning systems.
- 5. solar water heating systems.
- 6. fire and lawn sprinkler systems.
- 7. private waste disposal systems.

#### B. determine:

- 1. whether water supply and waste disposal *systems* are public or private.
- 2. the quantity or quality of the water supply.
- C. operate safety valves or shut-off valves.

# 7. ELECTRICAL SYSTEM

#### 7.1 The *inspector* shall:

# A. inspect:

- 1. the service drop.
- 2. the service entrance conductors, cables, and raceways.
- 3. the service equipment and main disconnects.
- 4. the service grounding.
- 5. the interior *components* of service panels and sub panels.
- 6. the conductors.
- 7. the overcurrent protection devices.
- 8. a *representative number* of *installed* lighting fixtures, switches, and receptacles.
- 9. the ground fault circuit interrupters.

#### B. describe:

- 1. the amperage and voltage rating of the service
- 2. the location of main disconnect(s) and sub panels.
- 3. the wiring methods.

#### C. report:

- 1. on the presence of solid conductor aluminum branch circuit wiring.
- 2. on the absence of smoke detectors.

## 7.2 The *inspector* is NOT required to:

#### A. inspect:

- 1. the remote control devices unless the device is the only control device.
- 2. the alarm systems and components.
- 3. the low voltage wiring, *systems* and *components*.
- 4. the ancillary wiring, *systems* and *components* not a part of the primary electrical power distribution *system*.
- B. measure amperage, voltage, or impedance.

# **8.** HEATING SYSTEM

# 8.1 The *inspector* shall:

#### A. inspect:

- 1. the installed heating equipment.
- 2. the vent systems, flues, and chimneys.

#### B. describe:

- 1. the energy source.
- 2. the heating method by its distinguishing characteristics.

#### 8.2 The *inspector* is NOT required to:

# A. inspect:

- 1. the interiors of flues or chimneys which are not *readily accessible*.
- 2. the heat exchanger.
- 3. the humidifier or dehumidifier.
- 4. the electronic air filter.
- 5. the solar space heating system.
- B. determine heat supply adequacy or distribution balance.

#### 9. AIR CONDITIONING SYSTEMS

#### 9.1 The *inspector* shall:

- A. *inspect* the *installed* central and through-wall cooling equipment.
- B. describe:
  - 1. the energy source
  - 2. the cooling method by its distinguishing characteristics.

## 9.2 The *inspector* is NOT required to:

- A. inspect electronic air filters.
- B. determine cooling supply adequacy or distribution balance.

# 10. INTERIOR

#### 10.1 The *inspector* shall:

#### A. inspect:

- 1. the walls, ceilings, and floors.
- 2. the steps, stairways, and railings.
- 3. the countertops and a *representative number* of *installed* cabinets.
- 4. a *representative number* of doors and windows.
- 5. garage doors and garage door operators.

#### 10.2 The *inspector* is NOT required to:

#### A. inspect:

- 1. the paint, wallpaper, and other finish treatments.
- 2. the carpeting.
- 3. the window treatments.
- 4. the central vacuum systems.
- 5. the household appliances.
- 6. recreational facilities.

# 11. INSULATION AND VENTILATION

# 11.1 The *inspector* shall:

#### A. inspect:

- 1. the insulation and vapor retarders in unfinished spaces.
- 2. the ventilation of attics and foundation areas.
- 3. the mechanical ventilation systems.

#### B. describe:

- 1. the insulation and vapor retarders in unfinished spaces.
- 2. the absence of insulation in unfinished spaces at conditioned surfaces.

# 11.2 The *inspector* is NOT required to:

- A. disturb insulation or vapor retarders.
- B. determine indoor air quality.

# 12. FIREPLACES AND SOLID FUEL BURNING APPLIANCES

# 12.1 The *inspector* shall:

#### A. inspect:

- 1. the system components.
- 2. the vent systems, flues, and chimneys.

#### B. describe:

- 1. the fireplaces and *solid fuel burning appliances*.
- 2. the chimneys.

#### 12.2 The *inspector* is NOT required to:

#### A. inspect:

- 1. the interiors of flues or chimneys.
- 2. the firescreens and doors.
- 3. the seals and gaskets.
- 4. the automatic fuel feed devices.
- 5. the mantels and fireplace surrounds.
- 6. the combustion make-up air devices.
- 7. the heat distribution assists whether gravity controlled or fan assisted.
- B. ignite or extinguish fires.
- C. determine draft characteristics.
- D. move fireplace inserts or stoves or firebox contents.

# 13. GENERAL LIMITATIONS AND EXCLUSIONS

# 13.1 General limitations:

- A. Inspections performed in accordance with theseStandards of Practice
  - 1. are not technically exhaustive.
  - 2. will not identify concealed conditions or latent defects.

# GENERAL LIMITATIONS AND EXCLUSIONS 13.1, continued

B. These Standards of Practice are applicable to buildings with four or fewer dwelling units and their garages or carports.

#### 13.2 General exclusions:

- A. The *inspector* is not required to perform any action or make any determination unless specifically stated in these Standards of Practice, except as may be required by lawful authority.
- B. *Inspectors* are NOT required to determine:
  - 1. the condition of *systems* or *components* which are not *readily accessible*.
  - 2. the remaining life of any *system* or *component*.
  - 3. the strength, adequacy, effectiveness, or efficiency of any *system* or *component*.
  - 4. the causes of any condition or deficiency.
  - 5. the methods, materials, or costs of corrections.
  - 6. future conditions including, but not limited to, failure of *systems* and *components*.
  - 7. the suitability of the property for any specialized use.
  - 8. compliance with regulatory requirements (codes, regulations, laws, ordinances, etc.).
  - 9. the market value of the property or its marketability.
  - 10. the advisability of the purchase of the property.
  - the presence of potentially hazardous plants or animals including, but not limited to wood destroying organisms or diseases harmful to humans.
  - the presence of any environmental hazards including, but not limited to toxins, carcinogens, noise, and contaminants in soil, water, and air.
  - the effectiveness of any system installed or methods utilized to control or remove suspected hazardous substances.
  - 14. the operating costs of *systems* or *components.*
  - 15. the acoustical properties of any *system* or *component*.

#### C. *Inspectors* are NOT required to offer:

- 1. or perform any act or service contrary to law.
- 2. or perform engineering services.
- 3. or perform work in any trade or any professional service other than *home inspection*.
- 4. warranties or guarantees of any kind.

#### D. *Inspectors* are NOT required to operate:

- 1. any *system* or *component* which is *shut down* or otherwise inoperable.
- 2. any *system* or *component* which does not respond to *normal operating controls*.
- 3. shut-off valves.

#### E. *Inspectors* are NOT required to enter:

- any area which will, in the opinion of the inspector, likely be dangerous to the inspector or other persons or damage the property or its systems or components.
- 2. the *under-floor crawl spaces* or attics which are not *readily accessible*.

# F. Inspectors are NOT required to inspect:

- underground items including, but not limited to underground storage tanks or other underground indications of their presence, whether abandoned or active.
- 2. *systems* or *components* which are not *installed*.
- 3. decorative items.
- 4. *systems* or *components* located in areas that are not entered in accordance with these Standards of Practice.
- 5. detached structures other than garages and carports.
- common elements or common areas in multiunit housing, such as condominium properties or cooperative housing.

#### G. Inspectors are NOT required to:

- perform any procedure or operation which will, in the opinion of the *inspector*, likely be dangerous to the *inspector* or other persons or damage the property or its *systems* or *components*.
- move suspended ceiling tiles, personal property, furniture, equipment, plants, soil, snow, ice, or debris.
- 3. *dismantle* any *system* or *component*, except as explicitly required by these Standards of Practice.

# Glossary of Italicized Terms

Alarm Systems

Warning devices, installed or free-standing, including but not limited to: carbon monoxide detectors, flue gas and other spillage detectors, security equipment, ejector pumps and smoke alarms

Architectural Service
Any practice involving the art and science of building design for construction of any structure or grouping of structures and the use of space within and surrounding the structures or the design for construction, including but not specifically limited to, schematic design, design development, preparation of construction contract documents, and administration of the construction contract

Component
A part of a system

#### Decorative

Ornamental; not required for the operation of the essential systems and components of a home

#### Describe

To report a system or component by its type or other observed, significant characteristics to distinguish it from other systems or components

#### Dismantle

To take apart or remove any *component*, device or piece of equipment that would not be taken apart or removed by a homeowner in the course of normal and routine home owner maintenance

Engineering Service
Any professional service or creative work requiring engineering education, training, and experience and the application of special knowledge of the mathematical, physical and engineering sciences to

such professional service or creative work as consultation, investigation, evaluation, planning, design and supervision of construction for the purpose of assuring compliance with the specifications and design, in conjunction with structures, buildings, machines, equipment, works or processes

Further Evaluation
Examination and analysis by
a qualified professional,
tradesman or service technician beyond that provided by
the home inspection

Home Inspection
The process by which an inspector visually examines the readily accessible systems and components of a home and which describes those systems and components in accordance with these Standards of Practice

Household Appliances Kitchen, laundry, and similar appliances, whether *installed* or free-standing

#### Inspect

To examine readily accessible systems and components of a building in accordance with these Standards of Practice, using normal operating controls and opening readily openable access panels

#### Inspector

A person hired to examine any *system* or *component* of a building in accordance with these Standards of Practice

*Installed*Attached such that removal requires tools

Normal Operating Controls Devices such as thermostats, switches or valves intended to be operated by the homeowner Readily Accessible
Available for visual inspection without requiring moving of personal property, dismantling, destructive measures, or any action which will likely involve risk to persons or property

#### Readily Openable Access Panel

A panel provided for homeowner inspection and maintenance that is within normal reach, can be removed by one person, and is not sealed in place

Recreational Facilities
Spas, saunas, steam baths,
swimming pools, exercise,
entertainment, athletic, playground or other similar
equipment and associated
accessories

# Report To communicate in writing

Representative Number
One component per room for
multiple similar interior components such as windows and
electric outlets; one component on each side of the
building for multiple similar
exterior components

Roof Drainage Systems Components used to carry water off a roof and away from a building

Significantly Deficient Unsafe or not functioning

## Shut Down

A state in which a *system* or *component* cannot be operated by *normal operating controls* 

Solid Fuel Burning
Appliances
A hearth and fire chamber or similar prepared place in which a fire may be built and which is built in conjunction

with a chimney; or a listed assembly of a fire chamber, its chimney and related factory-made parts designed for unit assembly without requiring field construction

Structural Component A component that supports non-variable forces or weights (dead loads) and variable forces or weights (live loads)

#### System

A combination of interacting or interdependent *components*, assembled to carry out one or more functions

Technically Exhaustive
An investigation that involves
dismantling, the extensive use
of advanced techniques,
measurements, instruments,
testing, calculations, or
other means

Under-floor Crawl Space
The area within the confines
of the foundation and
between the ground and the
underside of the floor

#### Unsafe

A condition in a readily accessible, installed system or component which is judged to be a significant risk of personal injury during normal, day-to-day use. The risk may be due to damage, deterioration, improper installation or a change in accepted residential construction standards

Wiring Methods
Identification of electrical
conductors or wires by their
general type, such as "nonmetallic sheathed cable"
("Romex"), "armored cable"
("bx") or "knob and
tube", etc.



I onesty, justice, and courtesy form a moral philosophy, which, associated with mutual interest among people, constitutes the foundation of ethics. The Members should recognize such a standard, not in passive observance, but as a set of dynamic principles guiding their conduct. It is their duty to practice the profession according to this code of ethics.

As the keystone of professional conduct is integrity, the Members will discharge their duties with fidelity to the public, their clients, and with fairness and impartiality to all. They should uphold the honor and dignity of their profession and avoid association with any enterprise of questionable character, or apparent conflict of interest.

- 1 The Member will express an opinion only when it is based on practical experience and honest conviction.
- 2 The Member will always act in good faith toward each client.
- 3 The Member will not disclose any information concerning the results of the inspection without the approval of the clients or their representatives.
- 4 The Member will not accept compensation, financial or otherwise, from more than one interested party for the same service without the consent of all interested parties.
- 5 The Member will not accept nor offer commissions or allowances, directly or indirectly, from other parties dealing with their client in connection with work for which the member is responsible.

- 6 The Member will promptly disclose to his or her client any interest in a business which may affect the client. The member will not allow an interest in any business to affect the quality or the results of their inspection work which they may be called upon to perform. The inspection work may not be used as a vehicle by the inspector to deliberately obtain work in another field.
- An inspector shall make every effort to uphold, maintain, and improve the professional integrity, reputation, and practice of the home inspection profession. He or she will report all such relevant information, including violations of this Code by other members, to the Association for possible remedial action.